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Karolinska Institutet, Stockholm, Sweden

# **EXPLORING THE CANCER CELL ATTRACTOR IN THE EPSTEIN-BARR VIRUS INFECTION MODEL**

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# Exploring the cancer cell attractor in the Epstein-Barr virus infection model

THESIS FOR DOCTORAL DEGREE (Ph.D.)

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*To my family*  
献给我的家人



## ABSTRACT

It has been proposed, based on the theory of complex gene regulatory networks, that cell types, including cancer cells, represent attractor states of the network dynamics. In this study, we proposed an Epstein - Barr virus (EBV) latency I to latency III switch model. Exploiting this EBV latency switch model, we characterized for the first time the detailed dynamics of a cancer cell attractor at single-cell-resolution and found that the edge cells from a non-malignant cell line could transiently and stochastically adopt some malignant characteristics due to biological noise. This work might impact design of future rational cancer therapies by taking into account the dynamic robustness and high volatility of a heterogeneous cancer cell population. We also evaluated the impact of EBV load as a biomarker for hematopoietic stem cell transplantation (HSCT) patients.

We established and validated a latency I to latency III switch model that is based on on-off status of the EBV C promoter during latent infection by competition between EBNA1 and Oct proteins together with co-regulators (Paper I).

The dynamics of gene expression space, owing to a balance between homeostatic forces and stochastic fluctuations, has led to the cancer cell attractor conceptual model. Using the immortalized and malignant carrying EBV B-cell lines, we characterized the detailed structure of cell attractors. Any subpopulation selected from a population of cells repopulated the whole original basin of attraction within days to weeks. Cells at the basin edges were unstable and prone to apoptosis. Cells continuously changed states within their own attractor, thus driving the repopulation. Perturbations of key regulatory genes induced a jump to a nearby attractor. Using the Fokker-Planck equation, this cell population behavior could be described as two virtual, opposing influences on the cells: one attracting towards the center and the other promoting diffusion in state space. Transcriptome analysis suggests that these forces result from high-dimensional dynamics of the gene regulatory network. The clonal cell population heterogeneity was investigated by single cell RNA sequencing method. We sequenced different subpopulations within the clonal cell populations and found that edge cells from the non-malignant cell line (non-malignant attractor), represent a distinct population more close to the malignant attractor. This was based on mRNA expression pattern, single cell imaging, clustering analysis, and functional studies. We propose that these findings can be generalized to all cancer cell populations and represented intrinsic behaviors of tumors, offering new perspectives in the study of cancer. The results provide quantitative knowledge on non-genetic intercellular heterogeneity and its dynamics within an isogenic cell population of cancerous cells, affording insights at a new level of resolution, between molecular pathways and macroscopic tumor behaviors (Paper II and III).

We evaluated the impact of EBV load on survival of 51 HSCT patients. Patients with very high or very low level of cell bound EBV-DNA levels had a shorter overall survival (OS) than those with moderate EBV load: OS at 5 years was 67% vs 90%, ( $P < 0.03$ ). There was a conspicuous relationship between EBV load and the dynamics of reconstitution of total and EBV-specific T cells in a few patients. According to multivariate analysis, two other factors were also associated to early mortality: acute GVHD II-IV ( $p < 0.02$ ) and pre-transplant conditioning with total body irradiation (TBI)  $\geq 6$  Gy ( $p < 0.03$ ). All patients showing these three criteria died within two years after transplantation. This points to a subgroup of HSCT patients which deserve special attention aiming to improve their future treatment (Paper IV).

## LIST OF SCIENTIFIC PAPERS

### Articles included in this thesis:

1. JieZhi Zou, Jenny Almqvist, **Qin Li**, Ingemar Ernberg. Repression of Epstein-Barr virus enhancer Family of Repeats mediated transcription by Oct and Grg/TLE transcriptional regulators with implications for switching between latency programs. **Manuscript**.
2. **Qin Li**, Anders Wennborg, Erik Aurell, Erez Dekel, JieZhi Zou, Yuting Xu, Sui Huang, Ingemar Ernberg. Dynamics inside the cancer cell attractor reveal cell heterogeneity, limits of stability, and escape. **Proc Natl Acad Sci U S A**. 2016 Mar 8;113(10):2672-7.
3. **Qin Li**, Mtakai Ngara, Anders Wennborg, Andrii Savchenko , Laszlo Szekely, Sui Huang, Rickard Sandberg, Sten Linnarsson, Ingemar Ernberg. Transient phenotypic switch between non-malignant and cancer cell attractors. **Manuscript**.
4. **Qin Li**, Lalit Rane, Thomas Poiret, Jiezhi Zou, Isabelle Magalhaes, Raija Ahmed, Ziming Du, Nalini Vudattu, Qingda Meng, Åsa Gustafsson-Jernberg, Jacek Winiarski, Olle Ringdén, Markus Maeurer Mats Remberger, Ingemar Ernberg. Both high and low levels of cellular Epstein-Barr virus DNA in blood identify failure after hematologic stem cell transplantation in conjunction with acute GVHD and type of conditioning. **Oncotarget**. 2016 Apr 19. [Epub ahead of print]

### Related publications and manuscripts:

1. Helen Vallhov, Cindy Gutzeit, Sara M. Johansson, Noémi Nagy, Mandira Paul, **Qin Li**, Sherree Friend, Thaddeus C. George, Eva Klein, Annika Scheynius and Susanne Gabrielsson. Exosomes containing glycoprotein 350 released by EBV-transformed B cells selectively target B cells through CD21 and block EBV infection in vitro. **J Immunol** 186(1): 73-82.
2. **Qin Li**, Jiezhi Zou, Ingemar Ernberg. Comparison of quantitative PCR and semi-quantitative PCR for detection of Epstein-Barr virus in patient blood. **Manuscript**



# CONTENTS

<b>1 INTRODUCTION.....</b>	<b>1</b>
<b>1.1 Brief overview of cancer .....</b>	<b>1</b>
1.1.1 Cancer epidemiology and challenges in cancer therapy .....	1
1.1.2 The current view of cancer biology .....	3
1.1.2.1 Hallmarks of cancer.....	3
1.1.2.2 Models of tumorigenesis and their challenges .....	4
1.1.2.2.1 The clonal evolution model.....	4
1.1.2.2.2 The epigenetic clonal and epigenetic progenitor model.....	7
1.1.2.2.3 The cancer progenitor/stem cell model.....	8
<b>1.2 Cell attractor .....</b>	<b>10</b>
1.2.1 Origin of the idea .....	10
1.2.2 The conceptual development.....	11
1.2.2.1 Boolean network.....	11
1.2.2.2 The gene regulatory network and the attractor .....	12
1.2.2.3 The quasi-potential landscape, Waddington's epigenetic landscape, and network entropy .....	13
1.2.2.4 The cancer cell attractor .....	14
1.2.3 Potential applications of the cell attractor.....	15
1.2.3.1 The cell attractor as a tool to study cellular heterogeneity .....	15
1.2.3.2 The cell attractor as a model for cell differentiation and cell phenotypic switch .....	15
1.2.3.3 Cancer cell attractors as a tool to understand therapy resistance .....	16
<b>1.3 Epstein-Barr virus biology .....</b>	<b>16</b>
1.3.1 General introduction of EBV .....	16
1.3.2 EBV latency types in relation to tumors.....	17
1.3.2.1 Latency III and latency I/0 .....	17
1.3.2.2 Latency II.....	19
1.3.2.3 CD10 and CD54 .....	19
1.3.3 EBV load as a biomarker in stem cell transplantation .....	20
1.3.3.1 Overview of stem cell transplantation .....	20
1.3.3.2 EBV load together with T cell phenotyping as biomarkers in stem cell transplantation .....	20
<b>2 AIMS OF THIS THESIS .....</b>	<b>22</b>
<b>3 MATERIAL AND METHODS .....</b>	<b>23</b>
3.1 Clinical samples .....	23
3.2 Cell lines .....	23
3.3 Single-cell resolution methods .....	24
3.3.1 Flow cytometry .....	24
3.3.2 Single cell sequencing .....	24
3.3.3 Single cell imaging .....	25
3.4 Gene expression profiling by microarray .....	25

3.5 qPCR analysis .....	25
3.6 Malignancy test –agarose cloning .....	26
3.7 In silico modeling .....	26
3.8 Statistics .....	26
<b>4 RESULTS AND DISCUSSION.....</b>	<b>27</b>
4.1 Repression of Epstein-Barr virus enhancer Family of Repeats mediated transcription by Oct and Grg/TLE transcriptional regulators with implications for switching between latency programs (Paper I).....	27
4.2 Dynamics inside the cancer cell attractor: cell population heterogeneity, stability and escape (Paper II) .....	28
4.3 Transient phenotypic switch between non-malignant and cancer cell attractors. Paper (III). .....	30
4.4 Both high and low levels of cellular Epstein-Barr virus DNA in blood identify failure after hematologic stem cell transplantation in conjunction with acute GVHD and type of conditioning (Paper IV) .....	31
<b>5 UNSOLVED PROBLEMS AND HYPOTHESES FOR FUTURE WORK.....</b>	<b>34</b>
<b>5.1 What does the stronger negative fluorescent signal in FCM mean? .....</b>	<b>34</b>
<b>5.2 Subpopulation suicide as a population survival strategy? .....</b>	<b>35</b>
5.2.1 Asymmetric cell division and self-suicide strategy.....	36
5.2.2 Delivered among cells or by cell-cell contact.....	36
<b>6 ACKNOWLEDGEMENTS .....</b>	<b>37</b>
<b>7 REFERENCES .....</b>	<b>43</b>

## LIST OF ABBREVIATIONS

APC	Adenomatous polyposis coli
ONC	Oncogene
TSG	Tumor suppressor gene
TPG	Tumor progenitor gene
GKM	Gatekeeper mutation
CSC	Cancer stem cells
GRN	Gene regulatory network
iPSCs	Induced pluripotent stem cells
EBV	Epstein-Barr virus
IM	Infectious mononucleosis
BL	Burkitt lymphoma
EBNA	EBV nuclear antigens
LMP	Latent membrane proteins
LCLs	Lymphoblastoid cell lines
PTLD	Post-transplant lymphoproliferative disease
MME	Membrane metallo-endopeptidase
CALLA	Common acute lymphocytic leukemia antigen
ALL	Acute lymphocytic leukemia
ICAM-1	Intercellular adhesion molecule-1
HSCT	Hematopoietic stem cell transplantation
BMT	Bone marrow transplantation
aGVHD	Acute graft versus host disease
TBI	Total body irradiation
FCM	Flow cytometry
FACS	Fluorescence-activated cell sorting
MESF	Molecules of Equivalent Soluble Fluorochrome
STRT	Single cell tagged reverse transcription
FPE	Fokker-Planck equation
GEP	Gene expression profiles
OS	Overall survival
DN	Double negative



# 1 INTRODUCTION

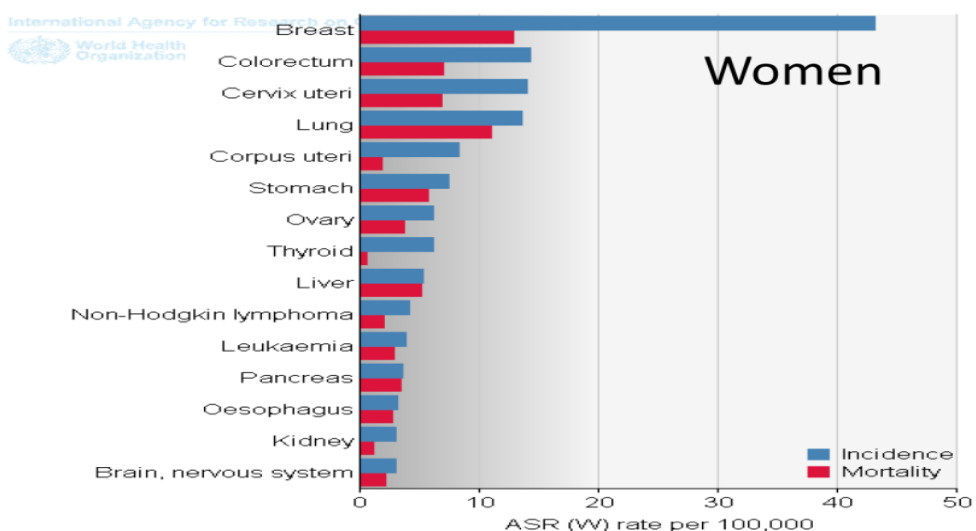
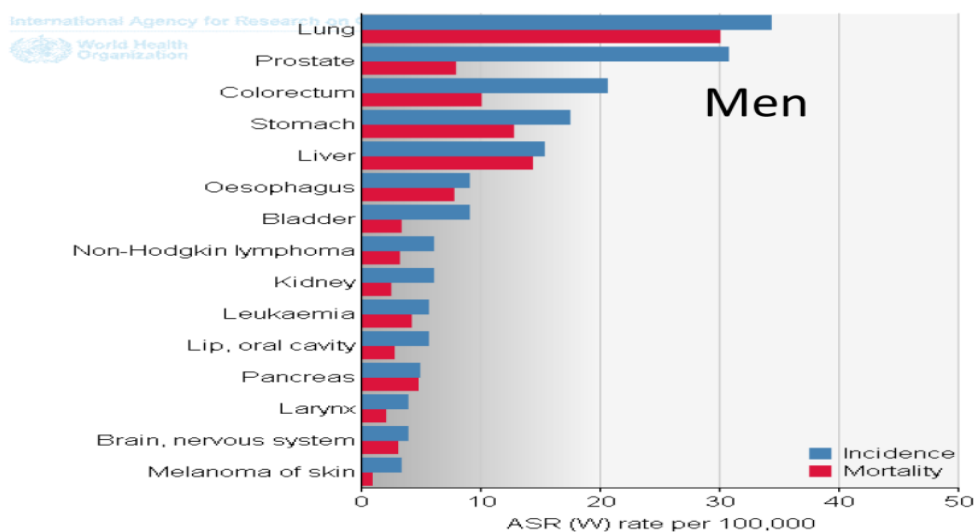
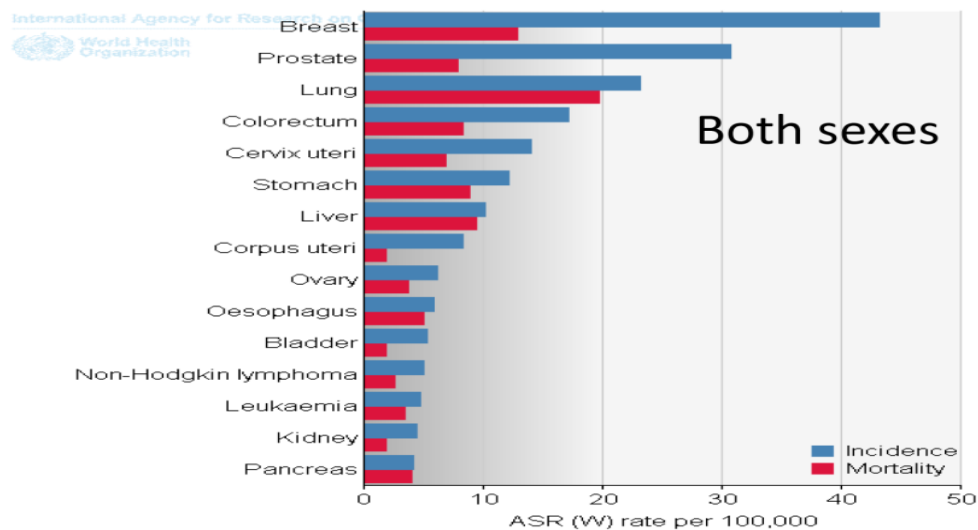
## 1.1 Brief overview of cancer

### 1.1.1 Cancer epidemiology and challenges in cancer therapy

The original meaning of the word “cancer” is crab. This was based on the observation that conspicuous blood vessels feed the tumors and resemble the claws of a crab (1, 2). Hippocrates first used the term *Karkinos* for a swelling or ulcerous formation, even hemorrhoids, whereas he used *karkinoma* for non-healing “cancer”.

Paleopathologic findings have demonstrated cancers already in animals of prehistoric times (3). The earliest known written description of cancer (a breast cancer) is from 3000 BC and was discovered in the Edwin Smith Papyrus (3). The Egyptians attempted to treat cancer with cautery, knives, salts, arsenic paste, and the Chinese attempted herbal remedies already more than 3000 years ago. Indians, Persians, Sumerians, and Hebrews also made early attempts to cure the cancer during the same period (3).

Although the long history with big efforts to understand and cure cancer, there are still major obstacles to achieve the goal and thus cancer is still a major challenge to public health. Cancer is a leading cause of death worldwide and this burden is expected to increase (4). In 2012, there were estimated 14.1 million new cancer cases and 8.2 million deaths of cancer worldwide (excluding non-melanoma skin cancers) (4). Breast and lung cancer show the highest incidence and mortality worldwide in women and men, respectively (4). Other frequently diagnosed cancers in women are those of colon and rectum, cervix and corpus uteri, stomach, ovary, thyroid, liver and non-Hodgkin lymphoma. The most common in men are those of prostate, colon and rectum, stomach, liver, esophagus, bladder, non-Hodgkin lymphoma, kidney and leukemia (**Figure 1**). The most common and lethal types of cancer differ between economically developed and developing countries, between gender, races and age. The estimated economic cost of cancer to society was approximately 1.16 trillion US dollar in 2010(5) excluding the substantial long-term costs for care-givers and families.



**Figure 1.** Estimated age-standardised incidence and mortality rates in men, women and both sexes worldwide, 2012. Source: GLOBOCAN 2012.

([http://globocan.iarc.fr/Pages/fact\\_sheets\\_population.aspx](http://globocan.iarc.fr/Pages/fact_sheets_population.aspx))

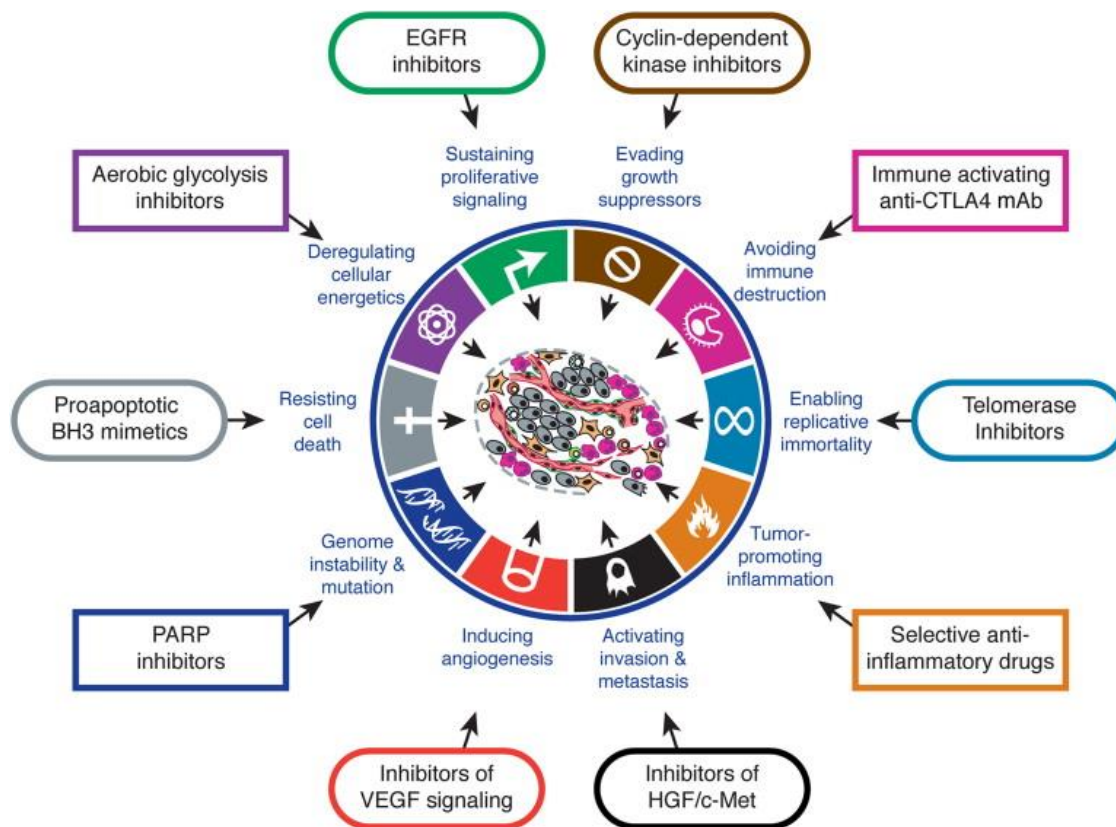
During the last decades, the understanding of cancer has improved remarkably and a valuable body of knowledge of cancer biology has been built. However, several key features of tumors biology, such as intra-tumor heterogeneity, and therapy resistance are still controversial, while the understanding of tumorigenesis and tumor progression is incomplete. Although some success stories, cancer treatment is still inefficient. The global annual costs for cancer drugs was approximately 49 billion US dollars in 2011, of which \$ 37 billion was linked to treatments resulting in adverse side effects without therapeutic benefit to patients (6). Cancer treatment and care are so expensive that not only developing countries, but also the developed countries, will struggle to manage the spiraling costs (5).

In summary, in spite of the major attempts to understand and cure cancer , the economic burden for and relative failures of cancer therapy, makes cancer one of the major challenges in public health, as well as a life-threatening disease to individual patient.

### **1.1.2 The current view of cancer biology**

#### ***1.1.2.1 Hallmarks of cancer***

Hanahan and Weinberg summarized the most common features or “programs” affected in cells undergoing tumorigenesis as the eight hallmarks and two enabling characteristics of cancer : sustaining proliferative signaling, resisting cell death, evading growth suppressors, enabling replicative immortality, inducing angiogenesis, avoiding immune destruction, deregulating cellular energetics, activating invasion and metastasis; acquiring genome instability and mutations, tumor promoting inflammation (**Figure 2**) (7). Currently new drugs are being developed to target each of the hallmarks and enabling characteristics(7).



**Figure 2.** Eight hallmarks, two enabling characteristics of cancer and their corresponding targeting therapeutics (7).

### 1.1.2.2 Models of tumorigenesis and their challenges

Tumorigenesis is prevalingly understood as a multistep process driven by a sequence of irreversible genetic alterations, each conferring one or another type of survival and growth advantage, resulting in progressive transformation of normal cells into cancer cells. The process is thought to follow a Darwinian evolutionary process at the cell population level (8, 9). This is a DNA centric paradigm, in which the tumor suppressor genes and oncogenes play the crucial role. These key genetic aberrations are the main focus of current cancer drug development.

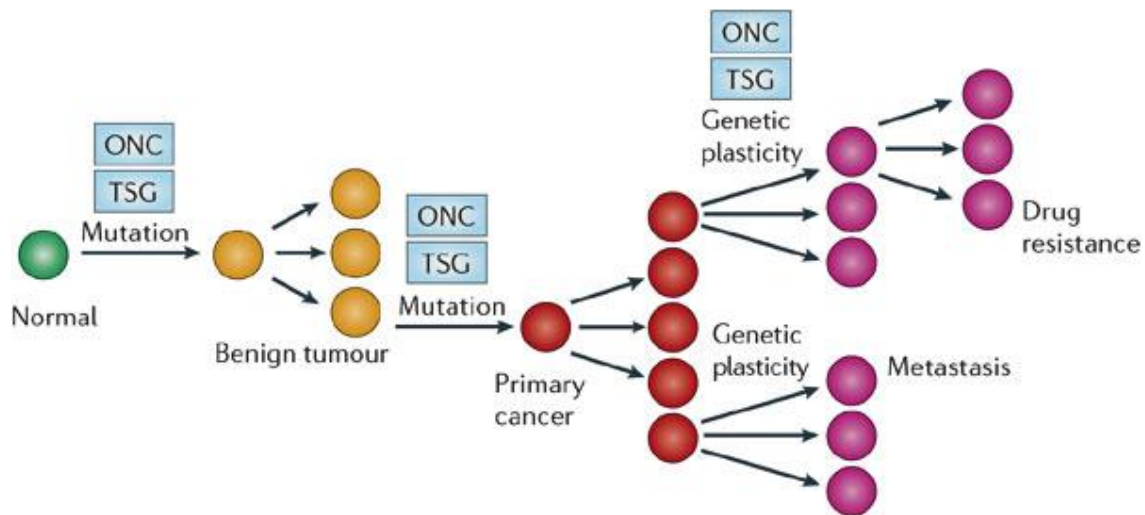
In line with this Darwinian evolutionary theory, (intra-)tumor heterogeneity is explained by different models, including the clonal evolution model (8) due to genetic and/or epigenetic events (2) and/or by the cancer progenitor/stem cell model.

#### 1.1.2.2.1 The clonal evolution model

According to the clonal evolution model, mutations of genes starting in normal cells occur randomly and accumulate over time. Alterations which provide



advantages for survival and growth make such cells expand to compete out non-affected cells, and other cancer hallmarks as defined by Hanahan and Weinberg, are subsequently acquired (7, 9). By parallel selection more than one neoplastic subclone will be established (**Figure 3**).



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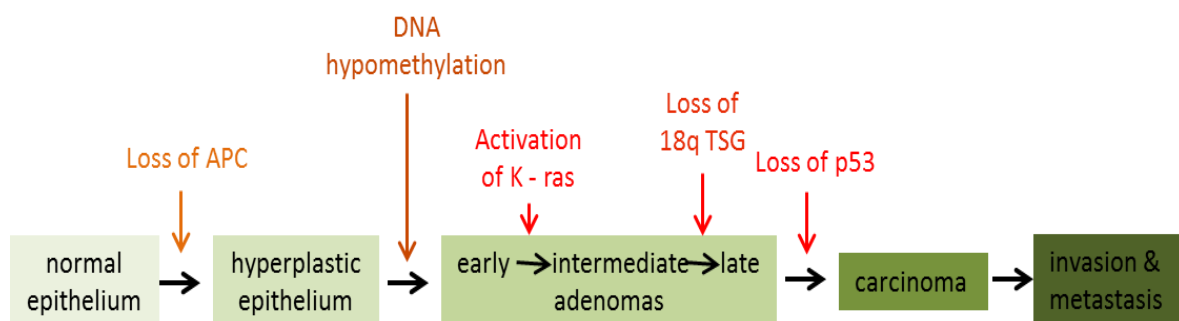
**Figure 3.** The clonal genetic model of cancer(2). ONC: dominantly acting oncogenes. TSG: tumor suppressor genes.

However, the mutation rate of a specific gene is so low, due to the efficient controls - the DNA repair system and the checkpoints in mitosis – so that the accumulation of mutations is inefficient. It is unlikely that all the mutations required from tumor initiation, to invasion and metastasis could be acquired during a human life span(10). To circumvent this problem, two concepts have been introduced: genome instability and clonal cooperation.

Genome instability enables and accelerates the evolution of subclones to acquire the hallmarks of cancer (9). Mutations of key guardians of the genome, e.g., p53, is one cause of acquired genome instability and contributes to the mutator phenotype in cancer (10). According to the clonal cooperation model, cells of subclones interact with each other. While most of such interactions are neutral, positive interactions result in mutual benefits between cells by sharing hallmark properties and accelerating tumor progression by the Darwinian selection force (11, 12).

The intra-tumor heterogeneity is then explained by the genetic variation between the selected subclones. Cancer therapy can often only target some of the mutations, i.e., there are subclones with acquired resistant mutations or preexisting resistance, which cause failure of therapy.

Colon cancer is the prime illustration of this model (**Figure 4**). Vogelstein et al established the first linear progression scheme for colon cancer, based on familial polyposis colon cancer (APC). During progression of colon cancer, phenotypic changes mirror the genetic (and also epigenetic) aberrations and the continued process follows a predictable order, starting with the loss of (adenomatous polyposis coli) APC, locus specific DNA hypomethylation, mutation and activation of K-ras, loss of a tumor suppressor gene in chromosome 18q and loss of p53. At the phenotypic level the normal epithelium becomes hyperplastic, then small benign adenomas appears, which develops into carcinoma, and eventually become invasive and metastatic (11-13). However, it turns out that almost no cancers really follow such an idealized linear progression model, not even colon cancer. This paradigm suggests a linear deterministic process caused by genes controlling complex phenotypes and that somatic mutations are the main drivers of tumor development. However, during the past ten years cell and tissue biology have undergone a revolution resulting in that new or largely neglected phenomena have to be adopted in tumor biology, such as epigenetic regulation, gene regulation by microRNAs, tumor cell heterogeneity including progenitor cells, the microenvironment including inflammatory processes and the rediscovery of the Warburg effect. This has resulted in new tools for description of the molecular biology of tumors, but also in the discovery of a much larger complexity than earlier anticipated.



**Figure 4.** Linear progression scheme for colon cancer (APC). Adapted from ‘the biology of cancer’(14).

There are limitations of this model:

1. The Darwinian evolutionary selection is based on phenotype, not genotype. The alterations in a gene do not necessarily cause phenotypic changes. Thus a phenotypic change does not strictly correspond to one specific event in the genome. Thus, there is no 1:1 correspondence between genotype and phenotype.

For example, even in the best defined and original example of this model, hereditary colon cancer, no common mutations responsible for development of invasion and metastasis have been identified. Thus some specific changes in gene expression has no underlying mutation resulting in invasion and metastasis (2).

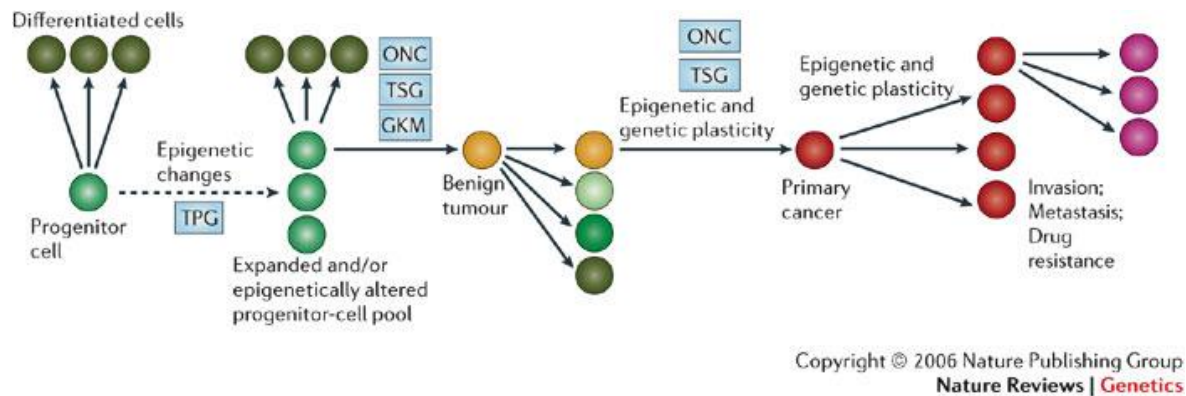
2. In spite of the big amount of knowledge acquired on suppressor genes and oncogenes and on their signaling pathways, modern cancer drug development aiming at restoring mutated key gene functions to eliminate the tumor has not been convincingly successful, with an efficacy rate below 25% (15).

This linear paradigm seems to be an oversimplified view assuming that targeting some of the most important mutated genes can eliminate all the cancer cells, while ignoring gene expression stochasticity and gene regulatory networks involved.

#### ***1.1.2.2.2 The epigenetic clonal and epigenetic progenitor model***

The epigenetic clonal model also follows a Darwinian evolutionary process (2). It is not in conflict with the clonal evolutionary model, but adds one more dimension to that model. In this model, epigenetic alterations could be surrogates for genetic changes, i.e., tumor suppressor gene could be silenced by epigenetic control rather than mutation, and oncogenes could be deregulated by epigenetic mechanisms as well. This can result in chromosomal instability and loss of imprinting, like classical mutations do, which also follows a Darwinian evolutionary process (2) (**Figure 5**).

The key difference between epigenetic and genetic mechanisms in tumorigenesis is that epigenetic alterations are reversible while genetic mutations are not (16). However, reversible epigenetic changes can serve as a substitute for irreversible genetic changes. As an example a treatment that reduced the level of heat shock protein 90 (HSP 90) caused morphological defects in flies when genetic modifications were strictly blocked and epigenetic alterations could be clearly confirmed (17, 18).



**Figure 5.** The epigenetic progenitor model of cancer (2). ONC: dominantly acting oncogenes. TSG: tumor suppressor genes. TPG: tumor-progenitor genes. GKM: gatekeeper mutation.

The epigenetic clonal model can also explain a large part of intra-tumor heterogeneity and therapy resistance, due to epigenetic variation in genome control (19).

A special case is the epigenetic progenitor model suggested by Feinberg et al, namely *the first event* in tumorigenesis are epigenetic due to perturbations in the local environment, instead of mutations(2). In fact it has been demonstrated that in rare tumors all the tumorigenic mechanisms are epigenetic, without any genetic mutations, like in ependymomas (20, 21).

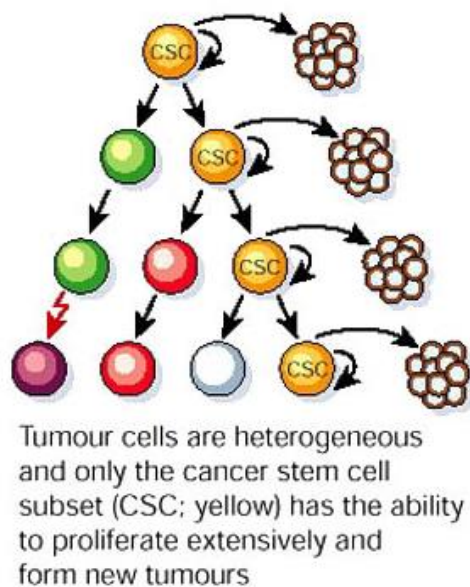
Thus epigenetics can be involved at three levels of tumorigenesis: 1. Epigenetic reprogramming to cancer progenitor cells. 2. In subclonal evolution playing a similar role as mutations. 3. Generate epigenetic plasticity and heterogeneity within tumors(2).

#### 1.1.2.2.3 The cancer progenitor/stem cell model

The concept and definition of cancer stem cells (CSC) is still controversial (22-27). The concept of stem cell is well established and clear. It refers to cells with pluripotent capacity to differentiate into cell types which comprise each organ and with the simultaneous ability to perpetuate themselves through self-renewal (27, 28). The differentiation of tissue specific stem cells is highly restricted to cell types within a specific organ, compared to the embryonic stem cells which are totipotent(28).

The idea that tumors might arise from a rare population of cells with stem cell-like properties was proposed about 150 years ago (28). The cancer stem cell

model posits that tumors are hierarchically organized including a small population of cells with the ability to seed new tumors and to spawn non-CSC populations lacking tumor initiating ability(**Figure 6**) (22, 23). CSCs express certain stem cell markers. In the CSC model, a small usually quiescent subpopulation of tumor cells-the CSCs- differentiate asymmetrically and generate both more tumor initiating CSC cells and non-tumor initiating cells. Great efforts have been made to identify specific CSC markers for a particular type of tumor. In some malignancies, such markers have been reported and validated (29, 30).



**Figure 6.** Cancer stem cell model. Adapted from (27).

Intra-tumor heterogeneity according to the CSC model is explained by asymmetric cell division and the ability to generate phenotypically and functionally different down-stream cell generations to the CSCs. CSCs are quiescent or slow proliferating cells. During systemic treatment they might therefore show a weak response to genotoxic damage and give rise to an even more malignant subpopulation of tumor cells during relapse. Once the selective pressure is withdrawn, the relatively therapy resistant CSCs would feed a new tumor (31-33).

The key concern about the CSC model is if such a differentiation hierarchy of CSCs is necessary for tumorigenesis. Although there are several examples which conform to the CSC model (30, 34), there is also good evidence that some types of tumors are not hierarchically organized and that the phenotypic

heterogeneity of the tumorigenic cells can be reversible (22, 35). This is a challenge to the CSC model. To pay tribute to caution, the term “cancer stem cell-like” has been applied recently.

In the model we have explored, the cancer attractor model, we found that the edge cells, which are at the extreme edge (in relation to a specific predetermined marker) of the basin of the attractor, showed slow, or even no proliferation. These cells are transiently at the edge, which means they would be able to leave this extreme state and acquire normal proliferation capacity once they are not at the edge any more. Thus we can conclude that a temporarily existing dormant and phenotypically different subpopulation of tumor cells does not necessarily have to be a product of a hierarchical organization, but can also arise due to biological noise and to variations in the gene regulatory network (36-39).

## **1.2 Cell attractor**

Although efforts have been made to adapt the current “modern” cancer paradigm to the insights about complexity in cell and tissue biology, it is now more of a repair patchwork than a model with an integrated perspective (7, 9). The entry of tumor biology into the realm of complex (cellular) systems can not be neglected any more. It will be necessary to find new, additional novel approaches to understand cancer processes in order to improve clinical developments. This is a major challenge, as the science of complex system is lagging in providing useful models and tools. Even the scientific nomenclature and the definitions in this field of science are insufficiently developed.”

### **1.2.1 Origin of the idea**

Max Delbrück first pointed out in 1949 that ‘many systems in flux equilibrium are capable of several different equilibria under identical conditions. They can pass from one state to another under the influence of transient perturbations’ (40, 41). The ability of small gene regulatory circuits to produce more than one stable equilibrium state was also later proposed by Jacob and Monod, to explain that differentiation could result in a multitude of stable phenotypic states (42,

43). In the 1960s, Stuart Kauffman using Random Boolean Networks showed that when connected by Boolean functions, hundred thousands of mutually regulating genes would be able to establish hundreds of stable equilibrium state, termed attractors (44-46). Huang and Kauffman suggested that such an attractor would correspond to a gene expression pattern associated with a cell type (47).

There is another cell regulatory model relating to phenotypes, designated the 'independent attractor' model by Baverstock. It arises from 'the interactions between active gene products (mainly proteins) and independently of the genomic sequence'(41). The difference between these two 'attractor' models has been discussed in detail by Baverstock (48). The 'independent attractor' model is still very hypothetical and its biological meaning still awaits further exploration and validation. In this thesis, the 'independent attractor' model will not be discussed further.

### **1.2.2 The conceptual development**

Though it has been decades since the concept of cell attractors was originally proposed, the development of this field has been slow and the impact of the idea has had a low impact in cell biology and tumor biology. Still at the stage of hypothesis, most of its applications have been based on *in silico* modelling while very few in vitro or in vivo experiments have been performed with the aim to explore and validate the theory. Most publications are reviews, opinions or perspectives while the original research papers are few.

To explore whether it is helpful to view cells as biological attractors would be an important step in conceptual consolidation. For this purpose network attractors would be applied as an ideal model to describe fundamental principles governing cell behavior and the appearance and maintenance of distinct cell types.

#### **1.2.2.1 Boolean network**

Using Boolean networks, the activation states of individual genes are described by a simple "on-or-off" parameter and studied in computer simulations with varying complexity. Given a set of simple rules, one gene network can assume a

very large number of theoretical positions in its n-dimensional state-space coordinate system. Using the reduction of levels of gene expression to two states (on-off;1-0) as digitals in Boolean algebra simulations, the number of stable states (termed attractors) that the network can assume is very limited, compared to the immense number of possibilities. This "conceptual model" represents fundamental and suggested features of the functionality of real gene regulatory networks.

#### ***1.2.2.2 The gene regulatory network and the attractor***

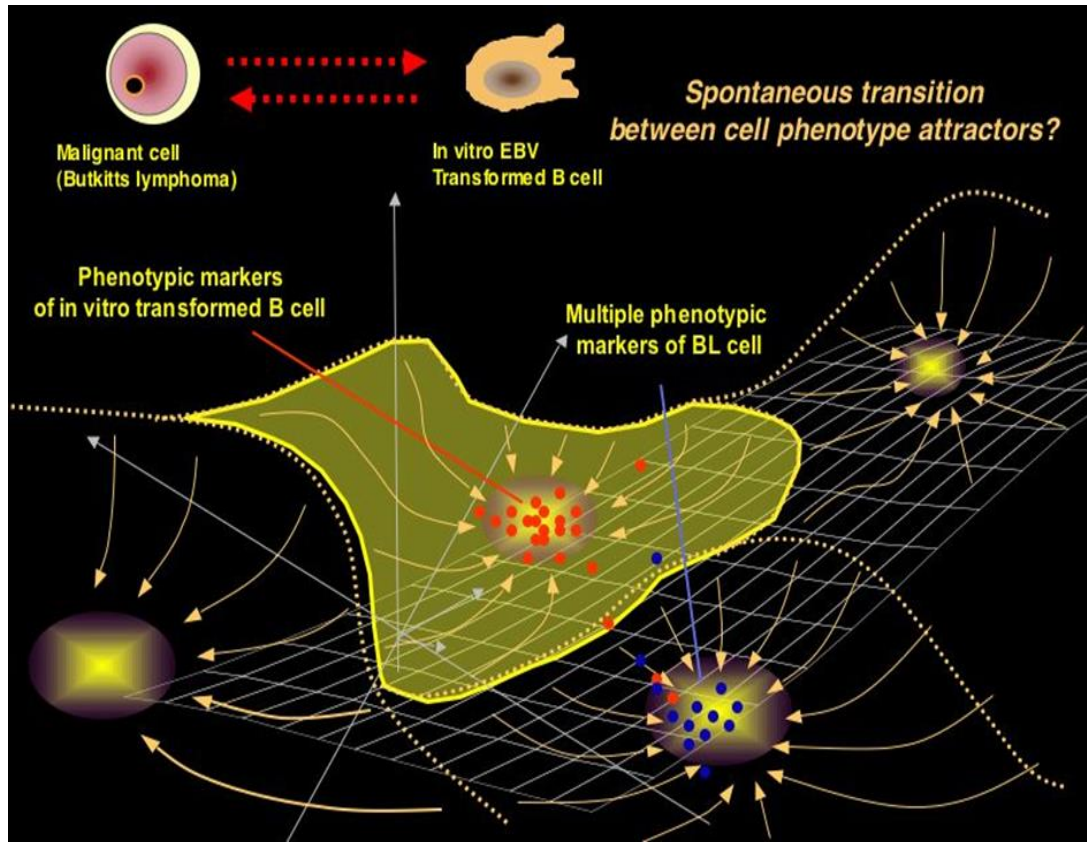
A gene regulatory network (GRN) is established based on the fact that genes influences the expression of other genes through molecular regulatory interactions (49). It refers to a hard-wired architecture and is quite robust but might change as a consequence of mutations (47, 49). It is the wiring of the intracellular network that makes the system robust and compensates for the variations so that the cells can maintain their identity (cell type) and function in spite of the variations.

The expression level of the genes changes over time, collectively manifested as the change of the gene expression patterns, which is referred to as ‘network dynamic’(49).

Based on the GRN concept, an attractor is seen as a point in space of the n-dimensional coordinate system to which objects in space seem to be attracted, where n is the total number of genes. An attractor of a GRN represents a virtual state of the expression level of all genes of a cell type. If there was no inter-cellular heterogeneity, the cells of the same type would be in exactly the same point, the attractor. However, in reality data shows that most individual cells representing one cell type will not be positioned at the point of the attractor, but rather in a more or less distributed cloud around the attractor due to a conspicuous heterogeneity of gene expression levels (**Figure 7**). The closer to the attractor, the higher density of cells there will be. The area of this cloud is designated the basin of attraction of the attractor. In this domain the gene network can operate in a relatively stable manner and is only perturbed by intrinsic fluctuations and responses to small, random external influences. In other positions the network is unstable. All these basin of attractors constitute together a virtual landscape with valleys and ponds separated by hills and ridges. So an attractor is a stable gene network state and represents one type of



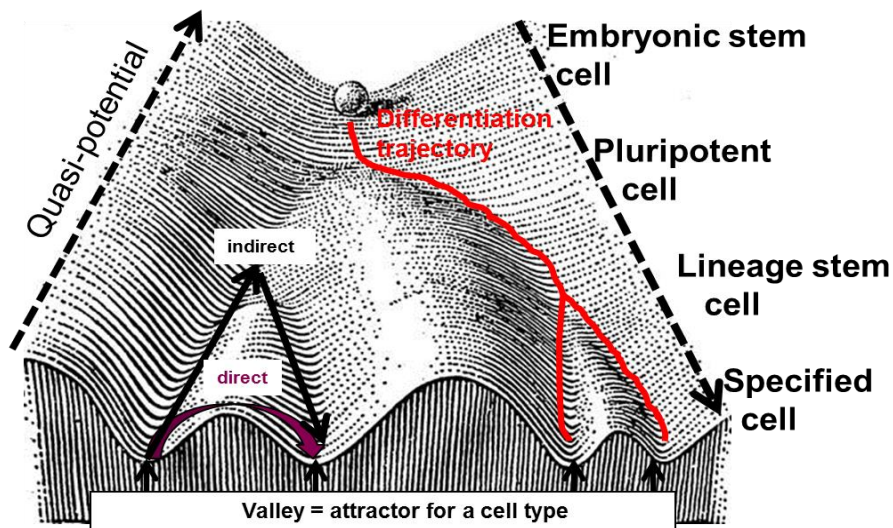
cells, and all these basin of attractors representing the different cell types constitute together the virtual landscape (36, 43).



**Figure 7.** A schematic representation of the cancer cell attractor model.

### ***1.2.2.3 The quasi-potential landscape, Waddington's epigenetic landscape, and network entropy***

In the cell attractor model, each dimension in the  $n$ -dimensional space ( $n$ = number of genes in the genome) represents the expression level of one gene, respectively. Huang proposed that Waddington's landscape is more than a metaphor by computing and interpreting the quasi-potential landscape, in which  $n$  dimensions have been compressed into two and the quasi-potential  $U$  has been introduced as one dimension, based on his demonstration that the relative stability of a network state could be computed and represented as a quasi-potential energy  $U$  of each state  $S$  (49, 50). The combined quasi-potential landscape of basins of attractors have superficial similarities with Waddington's epigenetic landscape suggested 60 years ago (**Figure 8**) (43, 51).



**Figure 8.** Waddington's epigenetic landscape, attractor and differentiation. Adapted from Waddington, 1957(51).

In the epigenetic landscape, pluripotent stem cells initially at the highest point in the landscape “roll down” through the valleys, to the bottom. This represents the development of the embryonic cells to the mature cell types. Development is the process when cells move to its ‘lowest energy’ attractors.

Erwin Schrödinger described living systems as ‘feeding on negative entropy’(52). It has been demonstrated that entropy rate decreases during the differentiation and the cellular network entropy could reflect the energy potential of a virtual biological landscape like Waddington's differentiation landscape (53).

#### ***1.2.2.4 The cancer cell attractor***

In this state space-landscape model of a gene network there might also be an unknown number of possible but normally unexploited positions. It has been suggested that such ‘illegitimate’ positions can be entered by cancer cells (43), hence the cancer cell attractor model. One ultimate question is whether cancer precursors can be derived from a normal heterogeneous cell population?

Edge cells- those at the edge of the basin of the attractor - according to the conceptual model are transitory, unstable states, and will either quickly find one of the adjacent attractors or disintegrate resulting in cell death. The characteristics of the edge cells, including higher mortality and significantly slower proliferation of the edge cells has been validated (36). We hypothesize that the edge cells may rarely be able to jump to a neighboring legitimate or

illegitimate attractor. The entering of a legitimate attractor results in cell type switch and to an illegitimate attractor results in tumor initiation.

In the cell attractor model, mutations are suggested to change the GRN and affect the ‘height’ of the ridges between the valleys so that access to normally inaccessible attractors, including malignant cell attractors, can occur more easily(49). Thus, mutations would neither be a sufficient nor a necessary condition for tumor initiation, but by modifying the local landscape, they could promote or accelerate tumorigenesis.

### **1.2.3 Potential applications of the cell attractor**

Besides being one novel model of tumorigenesis, the ‘cancer cell attractor model’ as discussed above, the cell attractor model can also be applied as a tool to study aspects of complexity in cell biology:

#### ***1.2.3.1 The cell attractor as a tool to study cellular heterogeneity***

The same GRN, due to biological fluctuations and regulation between genes, can generate different transcriptomes(43, 49) which correspond to the different cellular phenotype (54).

#### ***1.2.3.2 The cell attractor as a model for cell differentiation and cell phenotypic switch***

In the epigenetic landscape based on GRN, the pluripotent cell moves down along the valley (i.e., trajectory of differentiation) to the bottom (i.e., the mature stable cell type). This is a non-reversible process like water falling down from a higher position to a lower. Thus, normally once the cell is committed to one valley at bifurcations (or intersections), it cannot go back and enter other(s). According to the cell attractor model, induced pluripotent stem cells (iPSCs) (55, 56) could be viewed as products of forcing the mature cell types upwards from the bottom by external perturbations (by adding Oct3/4, Klf4, Sox2 and c-Myc) and regain stemness. This forced process drives the mature cells back to an intersection in the landscape so that they may enter another valley and switch to another cell type; or it may lead them to a normally inaccessible illegitimate path, normally not being exploited by evolution, resulting in establishment of a malignant cell attractor. This hypothesis is supported by the fact that the process

of iPSC derivation shares many characteristics with cancer development and it has been reported that premature termination of reprogramming leads to cancer development through altered epigenetic regulation (20, 57-59). Tumor cells may mimic processes in iPSCs by alterations of key regulating transcription factors, which might be an explanation why many tumor cells show stemness.

Thus, the cell type switch could be achieved by either reversion to a more pluripotent state and entering another trajectory (indirect way, Figure 8), or by jumping over the ridge between two valleys (direct way, Figure 8) when its height seems reduced by mutation in the presence of sufficiently high levels of fluctuations or in the response to deterministic regulatory signals (36, 60, 61)

#### ***1.2.3.3 Cancer cell attractors as a tool to understand therapy resistance***

First, according to the model, the inter-cellular heterogeneity is an inherent property of any type of cells, due to the fluctuations of the GRN. Secondly, the GRN is dynamic, so even within the same cell, its phenotype changes over time, within its basin of attraction. Thirdly, as a property of the attractor, there is a trend that the cells move to their own attractor and any selected subpopulation has the ability to re-establish the whole parental population (36). With this in mind anti-cancer treatment cannot efficiently kill all the subpopulations of the tumor cell population at the same time. Tumor cells which are not killed after treatment have the ability to re-establish the whole parental tumor population again, which leads to relapse. Also, the treatment itself could be a potential stress for the tumor cells and enhance inter-cellular heterogeneity(62). Thus, compound screening based on a network view (63), and tumor-specific therapy taking into account the cancer cell network concept (64) have been discussed. Network medicine, defined as ‘combination drugs that interfere with disease network’, has been suggested as a promising future direction for therapy (6, 36, 64, 65).

### **1.3. Epstein-Barr virus biology**

#### **1.3.1 General introduction of EBV**

Epstein-Barr virus (EBV) (also known as Human herpesvirus 4), is a human-specific gamma-herpes virus. The EBV genome is a double-stranded, 172kb

linear DNA molecule (66, 67). It is one of the most common viral infections in humans and more than 90% of the adult population worldwide are EBV carriers. After primary infection with EBV, humans become EBV carriers for life time (68, 69). The primary infection by EBV in early childhood is often asymptomatic. Delayed first EBV infection may result in a benign, self-limiting disease, called infectious mononucleosis (IM), which is more common in developed countries than in developing areas.

EBV was first discovered in Burkitt lymphoma (BL), a B cell-derived lymphoma (70). Soon after its discovery, the in vitro transforming capacity of EBV was demonstrated (71). The in vitro EBV transforming system was proven to be useful in studies of the viral transforming mechanism and immune response against the virus carrying cell. In this thesis, I have exploited malignant vs. non-malignant EBV infected cell systems.

### **1.3.2 EBV latency types in relation to tumors**

Based on the expression pattern of EBV nuclear antigens (EBNA) and latent membrane proteins (LMP) and also on the usage of different viral promoters, EBV latent infection is classified into latency III, latency II, latent I/0 and Wp-restricted type (found in some rare BL). EBV infection has been proposed to be an epigenetic driver of tumorigenesis (72), e.g., in gastric cancers (72-74).

#### ***1.3.2.1 Latency III and latency I/0***

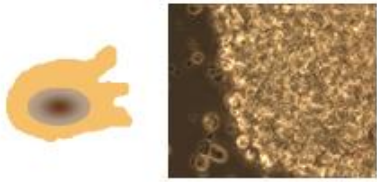
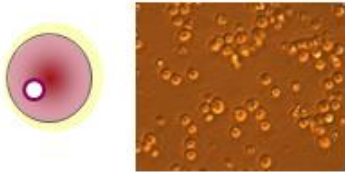
Upon in vitro EBV infection of B cells, the virus establishes a latent infection and the transformed B lymphocyte will proliferate continuously, giving rise to lymphoblastoid cell lines (LCLs) (71). Such LCL expresses six EBNAs (EBNA 1, EBNA2, EBNA 3 (3A), EBNA 4 (3B), EBNA 6 (3C) and EBNA 5 (-LP)) and 3 LMPs (LMP-1, LMP-2A and LMP-2B). This pattern of gene expression is referred to as latency III. In contrast, in latency I, only one virally encoded protein, EBNA1 is expressed, occasionally two (LMP2A). EBNA1 is essential for replication and maintenance of the viral episome (75). The different gene expression patterns are achieved by the use of different promoters to generate alternative primary transcripts: in latency III LCLs, one of two upstream promoters, either Cp or Wp, in the BamHI C or W region of the genome is exploited whereas in latency I, EBNA 1 expression is driven by Qp (75). The

latency I type does not induce proliferation and its phenotype corresponds to non-activated B cells (66). In the asymptomatic EBV persistence in healthy carriers, no EBV antigens may be expressed. This is referred as latency 0.

Latent EBV infection is associated with many kinds of malignancies and complication in immunosuppressed patients. Latency III EBV infection is associated with post-transplant lymphoproliferative disease (PTLD) (66). The latency III program is expressed only in B lymphocytes and latency III type cells can exist only during the acute phase of primary infection, before the EBV specific T cell response develops and in patients with impaired immune functions, e.g., immunosuppressed stem cell transplanted patients(66).

Latency I infection associated malignancy includes BL(75). BL seems to arise from the malignant transformation of germinal center B cells and it is classified into 3 types: the endemic BL (almost 100% EBV positive), the sporadic BL (around 30% EBV positive) and AIDS-associated BL (25-40% EBV positive). The etiology of BL is associated with EBV infection, but a key event in the pathogenesis of BL is a chromosomal translocation on chromosomes 14, 22 or 2 and the c-myc oncogene on chromosome 8, resulting in deregulated expression of the c-myc protein. Alteration in c-myc expression is a characteristic of all BL tumors including those that are not EBV associated (75, 76). It has been shown that MYC contributes to the phenotype of BL cells by upregulation of CD10 and CD38 and down regulation of activation markers (77). Although EBV carrying BL patients are immunocompetent, the BL cells can escape the immune system surveillance due to that they have only one EBV protein, EBNA1 expressed, but no other co-stimulatory surface molecules and EBNA 1 does not serve as target for CD8+ CTLs(66).

In our study, CBM1-Ral-Sto (CBM1), a typical LCL and Rael, derived from BL were used to represent the latency I and latency III switch model system. The characteristics of the two cell lines are as follows (**Figure 9**):

CBM1-RAL-STO	RAEL
	
EBV-positive Lymphoblastoid cell line (LCL)	EBV-positive Burkitt Lymphom derived cell line (BL)
Latency III (E1-6;LMP1,2)	Latency I (EBNA 1+)
CD 54 + (ICAM 1); CD10-	CD 10 + CD54-
Many shapes	Round, homogenous
Grows in clumps	Grows as single cells
No cloning in agarose	Cloning in agarose

**Figure 9.** Comparison of latency III (CBM1) and latency I (Rael) EBV infected cells.

### 1.3.2.2 Latency II

EBV latency II cells express EBNA 1, LMP1, LMP 2A and LMP 2B, and are associated with the classical Hodgkin lymphoma (HL), nasopharyngeal carcinoma (NPC) and nasal NK/T lymphoma.

### 1.3.2.3 CD10 and CD54

CD10 and CD54 are two perfect markers to distinguish latency I and latency III cell phenotype. EBV latency I type cells are supposed to be CD10+CD54- and latency III cells are CD10-CD54+.

CD10 (membrane metallo-endopeptidase, MME), is a common acute lymphocytic leukemia antigen (CALLA). It is an important cell surface marker in the diagnosis of human acute lymphocytic leukemia (ALL). This protein is presented on leukemic cells of pre-B phenotype. However, it is not restricted to leukemic cells, but also found on a variety of normal tissues. It has been shown that CD10 expression relates to differentiation potential and stage(78).

CD54 (intercellular adhesion molecule-1, ICAM-1) is a cell adhesion molecule distributed among normal and neoplastic tissues(79). It plays a key role in cell-cell interaction leading to immune response. CD54 is predominantly expressed



in the early stages of normal hematopoiesis and during the activation of blood cells, and it is also expressed on neoplastic cells from 'stem cell derived' neoplasms(79).

### **1.3.3 EBV load as a biomarker in stem cell transplantation**

#### ***1.3.3.1 Overview of stem cell transplantation***

Hematopoietic stem cell transplantation (HSCT) or bone marrow transplantation (BMT) is a well-established treatment for hematologic malignancies. The survival after the HSCT is expected to be superior compared to use of only conventional chemotherapy (80). Patients receiving bone marrow (BMT) or hematologic stem cell transplants (HSCT) show a considerable risk to develop EBV-associated post-transplant lymphoproliferative disorder (PTLD) and lymphomas, which is a potentially fatal disorder associated with the EBV infection (81-83). Relapse and acute graft versus host disease (aGVHD) are two other major causes of death of patients undergoing HSCT. The most commonly applied strategy for ablative therapy in preparation for allogeneic HSCT has been cyclophosphamide combined with total body irradiation (TBI) (80, 84). Higher dose of TBI and /or chemotherapy could reduce relapse, but increase treatment toxicity, which could also be a cause of death. aGVHD is a frequent and at times severe inflammatory complication after HSCT. Efforts to diagnose, prevent and treat it are important for better survival of the HSCT patients(85).

#### ***1.3.3.2 EBV load together with T cell phenotyping as biomarkers in stem cell transplantation***

EBV DNA levels in blood reflect the intricate and complex balance between EBV and the host. EBV load has been proven to be a useful biomarker associated with prognosis after HSCT and solid organ transplantation (81, 83, 86-95).

After transplantation the levels of EBV DNA-load in blood is affected both by immunosuppressive treatment and immune stimulatory mechanisms, like aGVHD(83, 91).



The importance of T cell mediated immune responses in maintaining balanced viral persistence is emphasized by the fact that patients with T cell dysfunction are at risk of developing EBV associated PTLN (96, 97). EBV specific T cells play an important role in the long term control of the EBV carrier state and it has been reported that PTLN may be prevented or even cured by administration of donor derived EBV-specific cytotoxic T lymphocytes(81, 82, 98).

## **2 AIMS OF THIS THESIS**

The overall purpose of the studies presented in this thesis was to explore the cancer cell attractor using the EBV latent infection model.

More specifically, the thesis aimed to:

1. Study the molecular basis for switch between EBV latency I to latency III.
2. Examine the cell attractor model generated from in silico work and its biological relevance by in vitro experimental testing.
3. Study inter-cellular heterogeneity and cell type maintenance based on the cell attractor model.
4. Characterize the dynamics of cancer cell attractor at single cell resolution.
5. Explore the characteristics and fate of edge cells in the cell attractor.
6. Simulate the cell population dynamics of cell attractors in silico with Fokker-Planck equation.
7. Investigate EBV load together with T cell phenotyping and evaluate its prognostic value after hematopoietic stem cell transplantation.

### 3 MATERIAL AND METHODS

I will here primarily discuss the methods which are more specific for my studies. Otherwise I do refer to the Methods descriptions in the separate papers.

#### 3.1 Clinical samples

The characteristics of the patients are summarized in table 1. Blood samples were collected from the patients at 1, 2, 3, 6 and 12 months after HSCT. Fifty-one patients were included. Seventeen were children ( $\leq 18$  years old) and 34 were adults. The study was approved by the Stockholm Ethical Committee South 2010/760-31/1. In the case of children consent was also obtained from parents or legal guardians (on file at Center for Allogeneic Stem Cell transplantation, CAST, Karolinska University Hospital, Huddinge).

#### 3.2 Cell lines

Six human Epstein-Barr virus (EBV) carrying B-cell lines were used in this study: DG75 is an EBV negative Burkitt's lymphoma cell line(99). Rael is an EBV positive Burkitt's lymphoma (BL) cell line with a latency I expression pattern (100). The CBMI-Ral-STO cell line was obtained by *in vitro* infection of cord blood cells with virus rescued from Rael and has a latency III expression pattern (101). Mutu I (clone 148) is a BL derived cell line with a type I phenotype. Mutu III (clone 99) was obtained by in vitro culturing of Mutu I and the cells have a type III phenotype. Akata is an EBV positive BL cell line that spontaneously loose EBV forming the EBV negative Akata-N. Fresh EBV transformed B cells (fresh LCL) was also applied (Paper III) : Resting human B-cells from "buffy coat" or from total blood was purified by affinity separation using magnetic microbeads loaded with specific antibodies against the B-cell marker CD19 and infected with the B95-8 laboratory strain of EBV.

The cell lines were maintained as suspension cultures in RPMI 1640 medium supplemented with 10% fetal bovine serum (FBS), L-glutamine, streptomycin and penicillin.

### **3.3 Single-cell resolution methods**

#### **3.3.1 *Flow cytometry (FCM)***

Multi-color FCM was applied to study the heterogeneity of cell surface proteins and their dynamics. With this method, expression of more than 12 proteins from each cell could be recorded at the same time. One key step in multi-color FCM is fluorescence signal compensation. It is required when two or more dyes are attached to cells and their ranges of color emission overlaps (spectral overlap). The more fluorescence dyes were applied, the more complicated and challenging the compensation would be. Fluorescence-activated cell sorting (FACS) were applied to select and isolate cells. Based on FCM, Quantum MESF (Molecules of Equivalent Soluble Fluorochrome) beads were applied to correct for the effect of day-to-day fluctuations of the flow cytometer. The cell apoptosis and death analysis was done with APC Annexin V/ Dead Cell Apoptosis Kit. The cell tracing and proliferation analysis was done by CFSE staining with the CellTrace™ CFSE Cell Proliferation Kit(102) (paper II, paper III). T cell phenotyping was also done by multicolor FCM (paper IV). FCM data was analyzed by Flowjo.

#### **3.3.2 *Single cell sequencing***

In this study, the number of edge cells that could be collected is limited, thus, we applied single cell analysis. A single cell gene expression profiling, single cell tagged reverse transcription (STRT) method, combined with a microfluidic sample preparation, Fluidigm C1, was applied. In this STRT-C1 method, a 5 bp short random degenerate sequence was introduced to count the single cell molecule and remove the amplification bias(103).

The obtained sequence reads were mapped to a concatenated reference genome made up of the human reference genome (UCSF's Human Genome Assembly Release hg19) and ERCC's synthetic transcript controls using STAR version 2.3.0 (104). The quality of sample libraries were evaluated for complexity, sequencing error rates, reproducibility, exon/intron mapping ratio, sense/antisense ratio. For the high quality libraries (at least 10,000 mapped reads and 500 detected genes), gene expression levels were computed based on uniquely mapped reads in terms of read counts and normalized expression calculated in rpm (reads per million mapped reads). Samples were clustered

using principal component analysis based on the log-transformed expression values and the distances between different sub-samples were computed based on the Euclidean distances of the transcriptomes. Genes with significant PCA loading were identified using a jackstraws randomization approach (105).

The computational analyses were done using the STRT pipeline, in-house developed python and R scripts.

### ***3.3.3 Single cell imaging***

Cells isolated by FACS were placed in a 30000 well nanochip. After 30 min of incubation most of the cells were trapped in the wells and forced to go down by gravity. Being distributed randomly most of them were single in a well. Minority of wells contained two, three or more cells. Those wells were excluded from analysis. The method in detail has been published (106).

Imaging of the cells in nanochips was performed with Hexascope. (Qantascope Biotech, Sweden).

(<http://www.qantascope.com/products/instruments/hexascopehttp>).

## **3.4 Gene expression profiling by microarray**

Larger amount of RNA was required for microarray analysis, compared to single cell STRT-C1 method. In this study, we applied this method together with GEDI program to figure out the gene expression patterns of a cell population over time to study its dynamics.

The GEDI maps were generated using the program GEDI (<http://www.childrenshospital.org/research/ingber/GEDI/gedihome.htm>) which is applied for visual representation of global gene expression based on self-organizing maps. In this study, we were more interested to know the whole gene expression patterns than to find out differentiated genes, thus, GEDI is a useful tool.

## **3.5 qPCR analysis**

In this study, qPCR analysis was applied to validate the microarray data (Paper II), and also to evaluate the EBV DNA load in HSCT patients (Paper IV).

### 3.6 Malignancy test –agarose cloning

The loss of contact inhibition and anchorage independence are nature of the tumor cells. Normal cells stop to grow due to cell-cell contact in many cases - tumor cells do not stop to grow when they meet neighboring cells. This was devised to test the breakdown of barriers and anchorage independence - *the cloning procedure in soft agarose*. In our study, we applied this method to test if the edge cells from non-malignant LCLs would transiently adopt malignant properties or not.

### 3.7 *In silico* modeling

*The in silico* modeling based on Fokker-Planck equation (FPE) describes the time evolution of a probability density function, PDF,  $f(X,t)$  under the combined influence of drift (corresponding to deterministic force of relaxation to the attractor basin center) and diffusion (corresponding to gene expression noise). The observed dynamics of relaxation of the edge cell fractions or the population segments was explored by FPE. The mathematical task was to determine the functions  $A(x)$  and  $B(x)$  in a one-dimensional FPE from observations of  $f(x, t)$ :

$$\frac{\partial f(x, t)}{\partial t} = -\frac{\partial}{\partial x}(A(x)f(x, t)) + \frac{\partial^2}{\partial x^2}(B(x)f(x, t))$$

Several variations of the basic model have been investigated to exemplify that the complex dynamics of cell populations.

### 3.8 Statistics

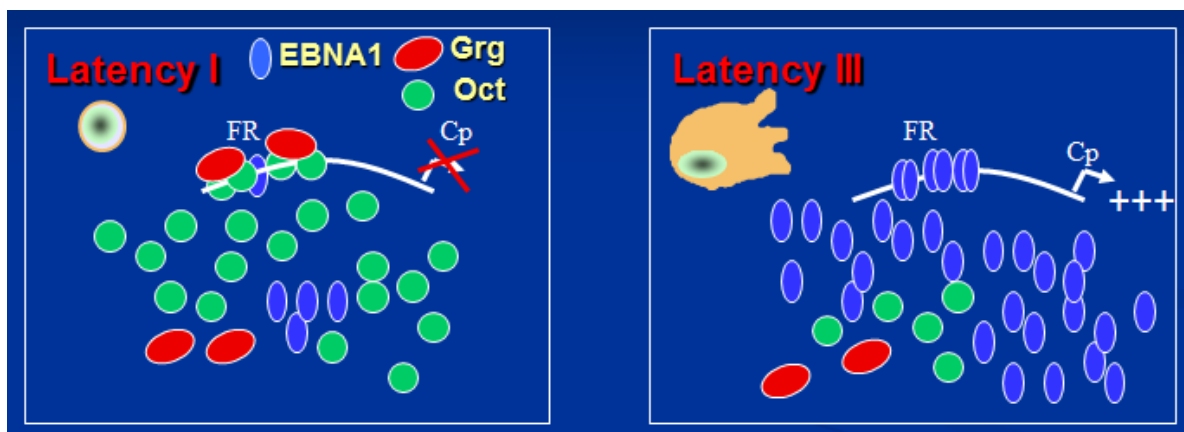
Overall survival was analyzed using the Kaplan-Meier method and compared with the log-rank test. Uni- and multivariate analysis of factors associated to survival was performed with the Cox proportional hazards model. Factors with a p-value <0.2 in the univariate analysis were included in the backwards elimination multivariate analysis. Continuous variables were compared with the Mann-Whitney test and categorical variables with the Fisher exact test. Analysis was performed with the Statistica software (Statsoft, Tulsa, MN, USA). In cell viability analysis, paired student t test was performed to compare the differences between groups by GraphPad Prism 6.0.

## 4 RESULTS AND DISCUSSION

### 4.1 Repression of Epstein-Barr virus enhancer Family of Repeats mediated transcription by Oct and Grg/TLE transcriptional regulators with implications for switching between latency programs (Paper I)

We consider the switch between latency I and latency III to be crucial in vivo, as it controls the proliferative capacity of EBV-infected/-carrying B-cells and thus also the level of EBV infected B lymphocytes in blood and lymphoid tissues. In normal B cells latency I cells are resting and latency III cells are proliferating. We have earlier shown that the switch depends on two viral promoters Cp and Qp and their regulatory elements, especially the enhancer for Cp designated FR (107).

In this study, an EBV latency I to latency III switch was proposed: EBNA1 has higher affinity to FR than Oct-2 has, so in latency III where the EBNA1 expression is high and Oct-2 is low, EBNA1 occupy most of the binding sites to FR-enhancer, while no or few Oct-2 molecules bind to this DNA sequence and as a result the transcription from the C promoter active. In latency I where the concentration of Oct-2 is high enough to compete with EBNA1, it will occupy the binding sites for EBNA1, and then the protein Grg which converts Oct-2 to a repressor binds to Oct-2 and the C promoter is silenced, while “constitutive” C promoter takes over (**Figure 10**).



**Figure 10. Latency I to latency III switch model.**

We have earlier validated that Levels of Oct-2 vary between latency I and latency III cells: high in latency I and low in latency III, while EBNA 1 shows the reverse. We demonstrated that all members of Grg/TLE could repress Oct-2

induced promoter activity through FR. This repression could be reversed by EBNA1. We suggest that Oct-2 and Grg/TLE-proteins could be involved in promoter switching, and as a consequence in latency switch. This switch will in turn have dramatic consequences for the fate of the infected B-cell, determining whether to proliferate or rest.

#### **4.2 Dynamics inside the cancer cell attractor: cell population heterogeneity, stability and escape (Paper II)**

Exploiting EBV latency I cells (Rael cell line, Mutu I, malignant) and a latency III system (CBM1 cell line, non-malignant or Mutu III, malignant), we explored the cell attractor model and studied its characteristics.

##### **Protein expression in clonal cell populations showed apparent heterogeneity and edge cells dynamically change their marker protein expression profile.**

The expression level of surface markers from the Rael and CBM1 showed a characteristic bell-shaped histogram with a broad basis. Edge cells, from the tail of the histogram, showed their ability to reestablish the parental distribution after isolation. They also showed reduced proliferation and viability. Also, isolated edge cells of Rael show an mRNA expression profile distinctly different from that of parental Rael.

Our data suggest that edge cells may show ambivalence either transgressing the ridge between two basins or returning to their original attractor. In an organized microenvironment they might merely not survive. However, with tissue stress and disorder, such as during low grade chronic inflammation, they might have a larger chance to survive and thus could complete transition to neighboring attractors. This essentially would explain the adoption of malignant, cancer stem-cell like phenotypes due to non-genetic plasticity and not mutations, as others have suggested (2, 62, 108).

As from the modelling work of cell attractor and also from our in vitro experimental data, the transition of the edge cells to neighboring attractors would be an exceptional phenomenon as it would violate the ordered structure of cell phenotypes and tissues in a multicellular organism. Indeed, the



robustness of attractor states, as described here, suggests that such spontaneous transitions are extraordinarily rare.

**Subpopulations representing different levels of marker expression can re-establish the parental marker distribution upon separate culturing.**

The central finding – directly predicted from the concept of the attractor basin - is that any isolated subpopulation derived from the original spectrum of the expression levels of a marker can reestablish within days to a few weeks the original parental distribution with respect to not just that marker used to define the subpopulations but the entire transcriptome. Isolated edge cells reassumed properties of cells in the middle of the population distribution, whereas cells at the center (mode) of the distribution moved away from the middle towards the edges, thus, in both cases “diffusing” (in state space) throughout the entire population space.

One implication is that when the selective pressure of treatment is released, the original population can be reestablished by these few temporarily resistant edge cells, which may be one explanation for therapy resistance.

**Single cells “move around” in the attractor basin over time.**

We demonstrated that cells in the attractor are not static and they change their position in the attractor dynamically over time. The wide distribution of single cells in a cancer cell attractor may result in that some cells in the population are resistant to a given drug at a certain time point, but not at another time point when they have shifted position in the state space (22, 26, 31, 63, 108, 109).

**Spontaneous shift from one phenotype to another is demonstrated. A key regulatory gene can facilitate a switch between attractors.**

Early during switching from Mutu I to III, some Mutu III cells resumed their original Mutu I phenotype as revealed by CFSE labelling. After apparent completion of the switch to the Mutu III phenotype, we occasionally detected a small subpopulation of CD10<sup>high</sup>CD54<sup>low</sup> (type I) cells at variable time points. Thus Mutu cells seem to oscillate spontaneously between the two “attractors”.

By knock down Oct2, a small fraction of Rael cells transiently switch from CD10<sup>+</sup>CD54<sup>-</sup> (type I) to CD10<sup>-</sup>CD54<sup>+</sup> (type III) phenotype.

## **Two virtual forces identified by mathematical modeling of the population re-establishment by Fokker-Planck equation.**

The description of the cell population distribution relaxation using a simple FPE mathematical model suggests that the dynamics of cell states around a cancer cell attractor is reasonably represented by two virtual forces, a deterministic drift force and a diffusion term that captures the stochastic fluctuations due to molecular noise that affects gene/protein marker expression.

### **4.3 Transient phenotypic switch between non-malignant and cancer cell attractors (Paper III)**

In line with our exploration of cancer cell attractors on Paper II, we deepened the analysis of gene expression profiles (GEP) in small distinct subpopulations representing a cross section of the basin of attraction covering both type I and type III cells and compared their biological functions.

We have demonstrated inter-cellular heterogeneity of the clonal cell population at protein level by measuring surface markers and at mRNA level by microarray in Paper II. Here we now explore the mRNA levels (transcriptome) by an RNAseq method designed for single cell analysis. Gene expression profiles show that the CD10<sub>high</sub>CD54<sub>low</sub> edge cells from CBM1 is a distinct population and resemble Rael more than its parental population, CBM1, as analyzed by hierarchical clustering based on overall gene expression patterns. This was also evident by calculating the Euclidean distance between the edge cells to Rael and CBM1, and also between Rael and CBM1 cells, and finally also shown by principle component analysis (PCA). Also, longitudinal live single-cell imaging was performed to explore cell divisions of the edge cells and the capacity to proliferate varied dramatically between each single cell.

The key biological functions of the most differentiated genes in the CBM1 edge cells compared to parental CBM1 and Rael were found out by gene set enrichment analysis GSEA.

The edge cells of CBM1 also show higher cloning frequency in soft agarose. While type I BL cells clone well in soft agarose correlating to their malignant phenotype, in vitro EBV-transformed type LCLs show very low cloning

frequency, when freshly transformed 0,001 -0,01%. Here we choose to analyze recently infected B-cells instead of CBM1, because the long term transformed B cells do gradually increase their agarose cloning frequency. Thus, edge cell of a fresh LCL made colonies in soft agarose like BL cells do, while the mode cells did not.

In conclusion, our result suggests that the edge cell from a non-malignant cell line might adopt some of the characteristics of the cells of a nearby adjacent malignant cell attractor without genetic mutations. This will inspire further studies on non-genetic intercellular heterogeneity and the possibility that tumorigenesis could even initiate without genetic aberrations in the right adverse microenvironment.

#### **4.4 Both high and low levels of cellular Epstein-Barr virus DNA in blood identify failure after hematologic stem cell transplantation in conjunction with acute GVHD and type of conditioning (Paper IV)**

The number of EBV infected B cells in blood of EBV carriers – the EBV load - is ultimately a reflection of the control of the switch between latency I (non - proliferating cells) and latency III (proliferating cells). Exactly how and when this switch control operates in vivo is not clear. Most of the cells in the blood of healthy persons or in risk groups are of latency 0/I type and thus non-proliferating. Thus the expansion of the EBV-infected B-cell pool, when necessary, takes place elsewhere in vivo, and probably in lymphoid tissues. There is a small possibility also that the EBV+ B cells pool can be expanded by new infections of cells, probably in lymphoid tissues in the oropharynx where there also can be access of infectious virus.

In a clinical follow-up project we determined EBV load and immune parameters in HSCT patients by regular sampling during one year after transplantation.

#### **Grouping according to the EBV load**

The border values between the groups were set based on the EBV load data:

Grouping		EBV copy number of the three PBMC collected at 1st,2nd, 3rd month after transplantation (copies/ $10^6$ PBMC)
EBV <sub>high+low</sub>	EBV <sub>high</sub>	> 90 000 in at least 1/3 samples(s), or > 60 000 in at least 2/3 samples
	EBV <sub>low</sub>	< 6000 in all the 3 samples, or negative in at least 2/3 samples
EBV <sub>intermediate</sub>		All the rest samples

Thirty of the 51 patients (60%) were assigned to the EBV<sub>high+low</sub> group, according to our definition. Twenty-one patients (40%) belong to the EBV<sub>intermediate</sub> group. There was no difference in clinical parameters between these two groups.

### **Overall survival of patients in the two EBV groups, and risk factors, survival and cause of death in the HSCT patients.**

The EBV<sub>high+low</sub> patients had a lower overall survival (OS) rate than those in the EBV<sub>intermediate</sub> group (Figure 1;  $p=0.03$ ). OS at 5 years was 67% vs 90%, ( $P < 0.03$ ). In the combined multivariate analysis three factors were associated to mortality: high+low EBV DNA load, acute GVHD II-IV and conditioning with TBI  $\geq 6$  Gy.

The causes of death ( $n=11$ ) in the EBV<sub>high+low</sub> group were specifically relapse ( $n=4$ ), bacterial infection ( $n=3$ ), organ failure ( $n=3$ , one also with bacterial infection), acute GVHD ( $n=1$ ) and a secondary malignancy ( $n=1$ , not EBV related).

### **Dynamics of immune reconstitution in the two EBV load groups**

In fourteen of the patients we performed follow up of cellular immune parameters. The analysis included frequency of CD3+ T cells, CD4+ cells, CD8+cells, Treg cells (CD3+/CD4+/CD4+CD25hi+/Foxp3+/CD127-) and CD4-CD8- cells (double negative, DN). Seven of these patients belonged to the

EBV<sub>intermediate</sub> group and seven to the EBV<sub>high+low</sub> (5 in EBV<sub>low</sub> group and 2 in EBV<sub>high</sub> group).

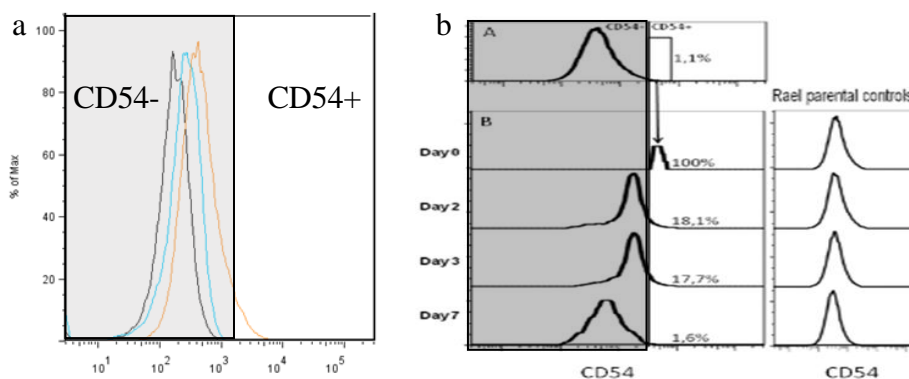
While the levels of EBV load inversely related to total CD3 and CD4 levels, as for DNs, such a relation was only detected during the first month after HSCT but not later. In general, CD8<sup>+</sup> and DN cells specific for lytic EBV antigen were more frequent than those with specificity to the latent antigen. Two cases illustrating different patterns of EBV DNA load in relation to reconstitution of EBV specific CD8<sup>+</sup> and CD4-CD8<sup>-</sup> cells were shown in detail in paper IV. Our data suggest that cell-bound EBV DNA load is an interesting reflection of the quality and balance of reestablishing the immune system. Moderate levels of EBV DNA load, reflects a balanced reconstitution, the parameters of which now should be better established.

In conclusion, in this study, we found a strong prognostic value of predefined levels of EBV DNA load in HSCT patients. Patients with very low or high levels of cell bound EBV-DNA in blood early after transplantation showed a poor prognosis, compared to patients with intermediate levels. When combined with two other risk factors, severe acute GVHD (aGVHD II-IV) and conditioning with high dose total body irradiation (TBI), none of these patients survived more than two years after transplantation. Data on EBV load adds a significant impact on survival and the patients with the three defined risk-factors had an extremely poor outcome. EBV load may be a surrogate marker for defining patients with dysfunctional immune reconstitution after HSCT. Patients groups were small and the study has to be extended to more patients, but if true the group with dramatically poor outcome deserves strong attention from a clinical handling point of view to improve this poor outcome of the intense treatment regime.

## 5 UNSOLVED PROBLEMS AND HYPOTHESES FOR FUTURE WORK

### 5.1 What does the stronger negative fluorescent signal in FCM mean?

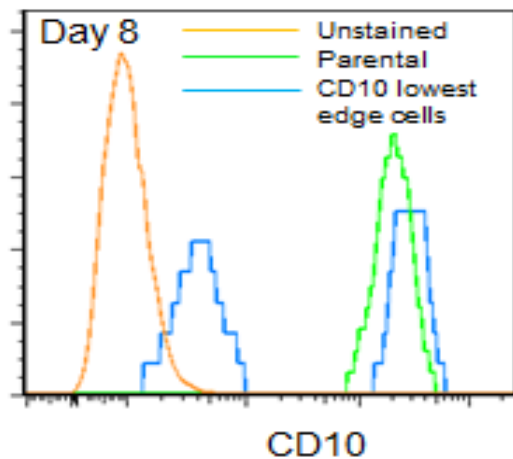
In FCM, unstained cells and /or isotype control stained cells are applied as a negative control for the antibody labeled cells from the same culture. Rael cells stained with antibody anti-CD54 conjugated with Pacific blue (Figure 11a, in orange), with Pacific blue isotype control (Figure 11a, in blue) and unstained Rael parental control (Figure 11a, in black) were shown. The shadowed area represented the range of the unstained control and isotype control, and was defined as negative. The isotype control has a slightly stronger signal than unstained control but the range along the x axis of these two controls was almost the same, while anti-CD54 stained Rael was higher. The CD54+ Rael cells (those out of the range of the dark area, Figure 11a) were isolated, as shown in the blank box of figure 11b, part A. These cells reestablished the parental distribution within 7 days after isolation, with the majority (98.4%) of the isolated CD54+ cells becoming CD54- again, as we concluded in paper II. However, here we also notice that the CD54 negative cells did not distribute randomly in the negative area. The CD54 negative cells shift from right to the left along the x axis (the direction of CD54 high to low), until the parental distribution of CD54 was reestablished. So here is a question we have no answer: what does the reestablishment of parental negative distribution mean and how come these presumed background signals are detected by FCM? Is there any biological meaning of stronger or weaker negative signal in FCM? In figure 2E of paper II, to circumvent these questions, we present the data as dots instead of the histogram below (the same data, shown in different ways) (**Figure 11**).



**Figure 11.** Stronger negative fluorescent signals.

## 5.2 Subpopulation suicide as a population survival strategy?

We noticed that the edge cells defined and isolated based on some parameter may transiently appear as two distinct subpopulations after isolation, one close to the basin of the attractor according to the defining parameter while the other is further away. The former one reestablishes the parental distribution while the latter gets fewer and fewer, until they disappear completely (Paper II, figure 3B and 3C). We have described this phenomenon briefly in the supplementary part in paper II (Suppl. 1.1.2). It appears to be not the result of experimental noise or erratic behavior since it was observed in two consecutive days and also in several repeated experiments, and both in cells with both strong and weak/negative surface marker expression, although the time point of its appearance varied. Here we show another observed example of this phenomenon (**Figure 12**): The isolated CD10 low edge cells showed two subpopulations during culture after isolation (Figure 12, in blue): one is of lower CD10 level, close to the Rael unstained negative control (Figure 12, in orange), which disappeared later, which the other is of higher CD10 level, close the Rael CD10 stained parental control, which reestablished the parental distribution(Figure 12, in green).



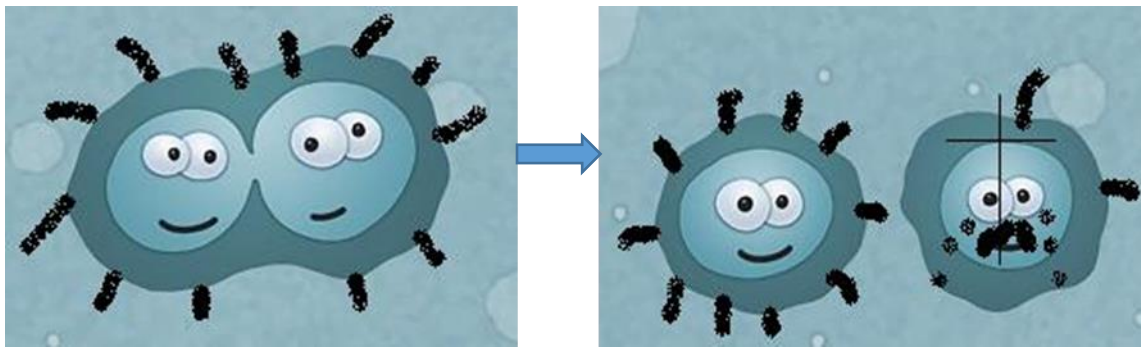
**Figure 12.** CD10 expression levels of Rael CD10lowest edge cells (in blue) cultured after isolation, compared with Rael unstained cells (in orange) and Rael CD10 labelled parental control (in green).

Hypotheses explaining this phenomenon:

### 5.2.1. Asymmetric cell division and self-suicide strategy

One cell obtains abnormal amounts of protein correlating to death while the other cell accumulates the proper amount of proteins to reach the range of the basin of attraction with higher chance of survival.

In this hypothesis, when cells are under extreme situations (in a status far away from its basin of attraction), e.g., extremely low or high expression level of certain proteins, beyond the range of its phenotype, which may be caused by external stimuli, or internal biological noise, the cells would experience asymmetric cell division. By doing so, when the cell population is short of biological resources (e.g., surface markers), one cell offers its own limited material to the other when dividing and commits suicide itself. (**Figure 13**).



**Figure 13.** Asymmetric cell division--- subpopulation suicide strategy. (Modified from <http://www.vladstudio.com/ipad-wallpapers/?kw=cell>)

### 5.2.2 Delivered among cells or by cell-cell contact

Another hypothesis to explain this phenomenon would be that there is biological material transfer between cells in such extreme situations, e.g., using exosomes. Or by transfer by cell-cell contact.



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